

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application No.:	10/804,314
Filing Date:	March 19, 2004
First Named Inventor:	Matthijs H. Keuper
Art Unit:	2826
Examiner Name:	Thomas Dickey
Attorney Docket No.:	LUM-03-06-09 US

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (if known)
		Number-Kind Code (If Known)			
	10.	3,599,109	08-1971	Guggenheim et al.	
	11.	4,289,381	09-15-1981	Garvin et al.	
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	22.	2002/0084467 A1	07-04-2002	Krames et al.	
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (if known)	T
		Country Code Number Kind Code (if known)				
	28.	WO 01/93387 A2	12-6-2001	Sandia Corporation		

Examiner Signature:	Date Considered:
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* Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication with applicant.

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ²	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ³
	29.	Non-Final Office Action mailed on August 25, 2005, for US Application Serial No. 10/805,424, eight pages.	
	30.	Response to Non-Final Office Action mailed on January 25, 2006, for US Application Serial No. 10/805,424, nine pages.	
	31.	Final Office Action mailed on April 14, 2006, , for US Application Serial No. 10/805,424, nine pages.	
	32.	Response to Final Office Action mailed on October 16, 2006, for US Application Serial No. 10/805,424, seven pages.	
	33.	Non-Final Office Action mailed on January 25, 2007, for US Application Serial No. 10/805,424, six pages.	
	34.	Response to Non-Final Office Action mailed on February 7, 2007, for US Application Serial No. 10/805,424, six pages.	
	35.	Non-Final Office Action mailed on May 14, 2007, for US Application Serial No. 10/805,424, fourteen pages.	
	36.	Response to Non-Final Office Action mailed on September 14, 2007, for US Application Serial No. 10/805,424, fourteen pages.	
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	38.	Mukaihara et al., "Polarization Control of Vertical-Cavity Surface-Emitting Lasers Using a Birefringent Metal/Dielectric Polarizer Loaded on Top Distributed Bragg Reflector," IEEE Journal of Selected Topics in Quantum Electronics, Vol. 1, No. 2, June 1995, pages 667-673	
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